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Form PTO-1390 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE (Rev. 1-98)		At [redacted]'s Docket Number 18744-0005
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		U.S. Application No. (if known, see 37 CFR 1.5) 10/049301
International Application No. PCT/EP00/07601	International Filing Date August 4, 2000	Priority Date Claimed August 6, 1999
Title of Invention NON-HUMAN ADENOVIRAL VECTOR FOR GENE TRANSFER, ITS USE AND THE PRODUCTION THEREOF		
Applicant(s) for DO/EO/US LÖSER, Peter and HOFMANN, Christian		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
<ol style="list-style-type: none"><li><input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.</li><li><input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.</li><li><input type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), and (9) and (21) indicated below.</li><li><input type="checkbox"/> The US has been elected by the expiration of 19th month from the earliest claimed priority date (Article 31).</li><li><input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2))<ol style="list-style-type: none"><li><input checked="" type="checkbox"/> is attached herewith (required only if not transmitted by the International Bureau).</li><li><input type="checkbox"/> has been communicated by the International Bureau.</li><li><input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</li></ol></li><li><input checked="" type="checkbox"/> An English language translation of the International Application into English (35 U.S.C. 371(c)(2))<ol style="list-style-type: none"><li><input checked="" type="checkbox"/> is attached herewith.</li><li><input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).</li><li><input type="checkbox"/> translation not required as the application was filed in English.</li></ol></li><li><input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))<ol style="list-style-type: none"><li><input checked="" type="checkbox"/> are attached herewith (required only if not transmitted by the International Bureau).</li><li><input type="checkbox"/> have been communicated by the International Bureau.</li><li><input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</li><li><input type="checkbox"/> have not been made and will not be made.</li></ol></li><li><input checked="" type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</li><li><input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</li><li><input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</li></ol>		
Items 11. to 20. below concern document(s) or information included:		
<ol style="list-style-type: none"><li><input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</li><li><input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</li><li><input type="checkbox"/> A FIRST preliminary amendment.</li><li><input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment.</li><li><input type="checkbox"/> A substitute specification.</li><li><input type="checkbox"/> A change of power of attorney and/or address letter.</li><li><input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 – 1.825.</li><li><input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4).</li><li><input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).</li><li><input checked="" type="checkbox"/> Other items or information: Notification of the Recording of a Change</li></ol>		
Express Mail Label No. EL690570163US		Date: February 6, 2002
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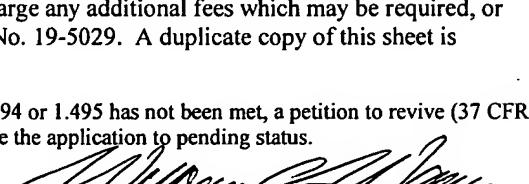
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PATENT & TRADEMARK OFFICE

AO 680431.1

U.S. Application No. 10/049301 International Application No. PCT/EP00/07601		Attorney's Docket Number 18744-0005
21. <input checked="" type="checkbox"/> The following fees are submitted: <span style="float: right;"><u>CALCULATIONS PTO USE ONLY</u></span>		
<b>BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5)):</b>		
Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO.. <b>\$1040.00</b>		
International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO ..... <b>\$890.00</b>		
International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... <b>\$740.00</b>		
International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) ..... <b>\$710.00</b>		
International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) ..... <b>\$100.00</b>		
<b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b>		
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input checked="" type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).		
Claims	Number Filed	Number Extra
Total claims	33 - 20 =	13
Independent Claims	2 - 3 =	0
Multiple Dependent Claims (if applicable)		+ <b>280.00</b>
<b>TOTAL OF ABOVE CALCULATIONS =</b>		
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by ½.		
<b>SUBTOTAL =</b>		
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).		
<b>TOTAL NATIONAL FEE =</b>		
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). <b>\$40.00</b> per property		
<b>TOTAL FEES ENCLOSED =</b>		
		<b>Amount to be refunded:</b>
		<b>charged:</b>
a. <input checked="" type="checkbox"/> A check in the amount of <b>\$1,534.00</b> to cover the above fees is enclosed.		
b. <input type="checkbox"/> Please charge my Deposit Account No. 19-5029 in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed.		
c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Deposit Account No. 19-5029. A duplicate copy of this sheet is enclosed.		
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.		
SEND ALL CORRESPONDENCE TO: William L. Warren, Esq. SUTHERLAND ASBILL & BRENNAN, LLP 999 Peachtree Street, N.E. Atlanta, Georgia 30309 Telephone: 404-853-8000		
 William L. Warren, Reg. No. 36,714		

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